

For over 25 years, FPA has provided world class outsourced IT solutions for Investment Advisors. We know advisors live and die by their ability to access timely, accurate and secure data. Having an IT partner intimately familiar with advisor operations from top to bottom is critical to making this happen. FPA is committed to being this partner -- providing early morning support, scheduling critical network and system upgrades for off hours so network downtime is kept to a minimum, understanding the implications of pricing, trading, billing, and confidentiality, and working closely with audit partners to meet all SEC and compliance requirements in the IT area. FPA provides all of the technology services an Investment Advisor firm needs to help manage and grow their business.

*FPA Technology Services, Inc. has provided outsourced IT services to growing businesses since 1991. With FPA's "business first" approach, FPA prides itself on being a trusted technology advisor helping clients leverage technology to achieve their business objectives. FPA hires only the best and the brightest and with a variety of degrees and certifications. With FPA, it's about how we do what we do. It's about the value we provide to our clients. It's about removing the worry around IT. FPA effectively handles all of a company's technology needs, allowing our clients to focus on achieving their business objectives.*

**Business Before Technology™ - We Get IT!**



## Benefits

### Industry Specific Experience

- We know your applications
- We understand your business operations
- Over 25 years working with industry specific vendors
- We're open when the Market opens
- We partner with industry experts

### Professionalism

- We act as a Trusted Advisor
- We understand your client relationships
- We document everything we do
- We adhere to the highest ethics & standards

### Capabilities

- Our technical capabilities are unrivaled in the industry
- Over 25 years working with Portfolio Management Systems
- We offer cyber security and forensic services
- We leverage our knowledge across our client base
- We bring a business perspective to technology
- *Business Before Technology - We Get IT!*





### Services

1. Network Infrastructure Management
2. Cyber Security & Compliance
3. Portfolio Management Systems
4. Custom Programming & Report Writing
5. Third Party Platform Integration
6. Accounting System Integration

#### Network Infrastructure Management

- Network installation, configuration, and support
- Network monitoring, alerting, and patch management
- Backup management and business continuity planning
- End-user help desk support
- Network security and compliance
- VPN remote location connectivity
- Technology planning, budgeting, and strategic guidance

#### Portfolio Management Systems

- Installation, configuration, and support
- Axy's, APX, & Moxy integration & consulting
- CRM Software implementation, customization, and support (MS-CRM, ACT!, Junxure, Goldmine, etc.)
- Paperless Office Solutions for Investment Research, Client and Regulatory Document Storage, Retrieval and Archiving

#### Custom Programming & Report Writing

- Development of custom applications
- Custom programming in .NET, ASP, Java, Visual Basic, etc.
- Creation of custom Axy's reports (including Advanced Broker Commission Reports, Security Cross References, Custom Billing Reports, etc.)

#### Accounting System Integration

- Implementation and support of accounting packages (QuickBooks, MAS90/200, etc.)
- Integration between accounting packages and portfolio management systems
- Portfolio Accounting System Integration
- Corporate Accounting System Integration

#### Cyber Security & Compliance

- SEC / FINRA compliance services
- 13F and ADV Reporting
- Cyber Security Assessment Review
- Penetration testing
- Vulnerability testing
- Managed Security Monitoring
- Dual Factor Authentication
- User Awareness Training and Testing
- Business continuity planning
- Disaster recovery planning, testing, and monitoring

#### Third Party Platform Integration

- Custodial interface installation, configuration, and support (Bear Stearns, Schwablink, etc.)
- Integration and consulting for Bloomberg, Bridge, Value Line, Morningstar, Zacks, and other leading platforms
- Dynamic Data Links for 3rd party platforms
- Institutional Consultant Database Interfaces
- Sub-Advisory Relationship Interfaces
- Wealth Manager Interfaces
- Compliance Monitoring and Reporting Interfaces
- Financial Information Exchange (FIX) Network Integration
- Referral Management System Interfaces
- Commission Management System Interfaces
- Proxy Management System Interfaces



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